



Forest Carbon Partnership Facility

Readiness Assessment Framework: Setting the stage for R-Package Reviews

Twentieth Meeting of the Participants Committee (PC20)

San Jose, Costa Rica

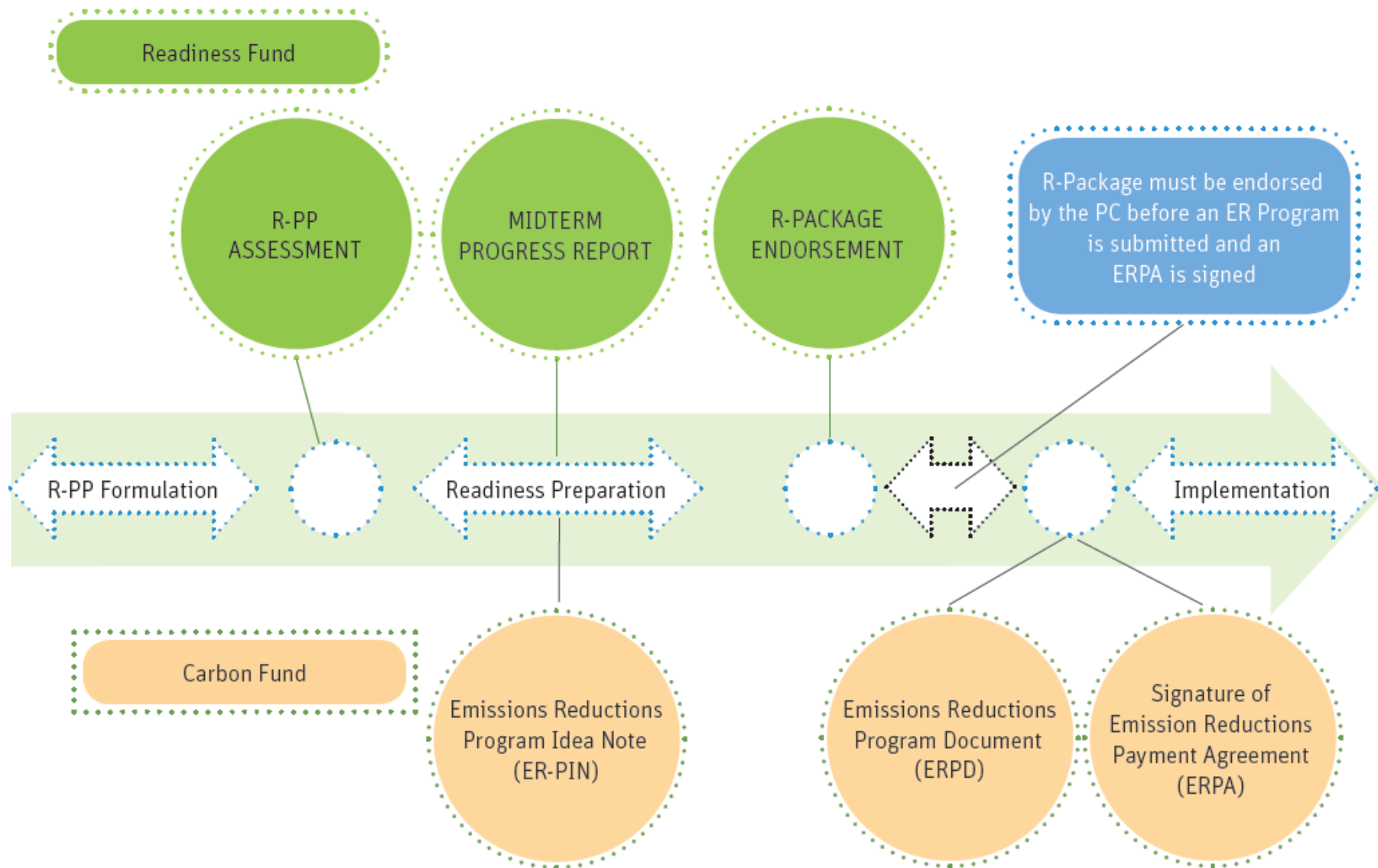
November 4-6, 2015

Session Objectives

- Recap key features of the Readiness Assessment Framework as adopted in 2013
- Explain the process of the R-
Package review at this meeting

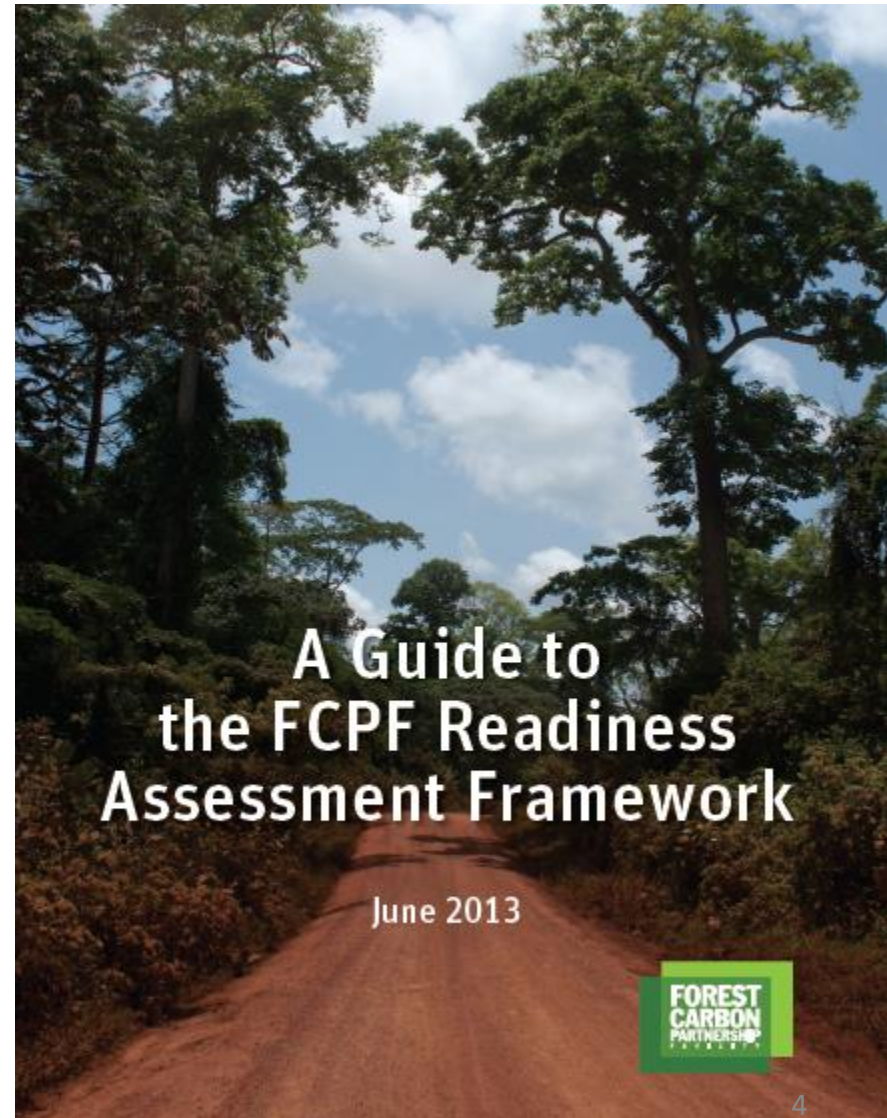


Readiness Assessment: Milestones in FCPF Readiness and Carbon Fund processes



Readiness Assessment Framework

- The Readiness Assessment Framework was adopted by PC14
 - [Resolution PC/14/2013/1](#)
 - [FMT Note 2013-1 rev](#)
- A guidance document is available on the [FCPF website](#)



Readiness assessment serves multiple purposes

It provides a country with the opportunity to:

- Assess **progress** on readiness preparation
- **Demonstrate** national **commitment** to REDD+
- Receive valuable **feedback** and **technical guidance**
- Submit a program to the **Carbon Fund** (eligibility requirement)
- **Focus** readiness preparation activities going forward
- Display **transparency** in readiness preparations
- **Demonstrate** that relevant social and environmental risks are being addressed
- Receive international **recognition** for REDD+ activities
- Potentially **attract additional funds** from external sources for further work or scaling up activities



The Readiness Assessment Framework is designed to measure *relative* progress

- Provides a common framework to consider a country's progress on core readiness activities
 - Not an absolute standard for readiness
 - Pace of progress varies from country to country
 - Many aspects of readiness will remain ongoing
 - Includes all readiness preparation activities (irrespective of funding source)



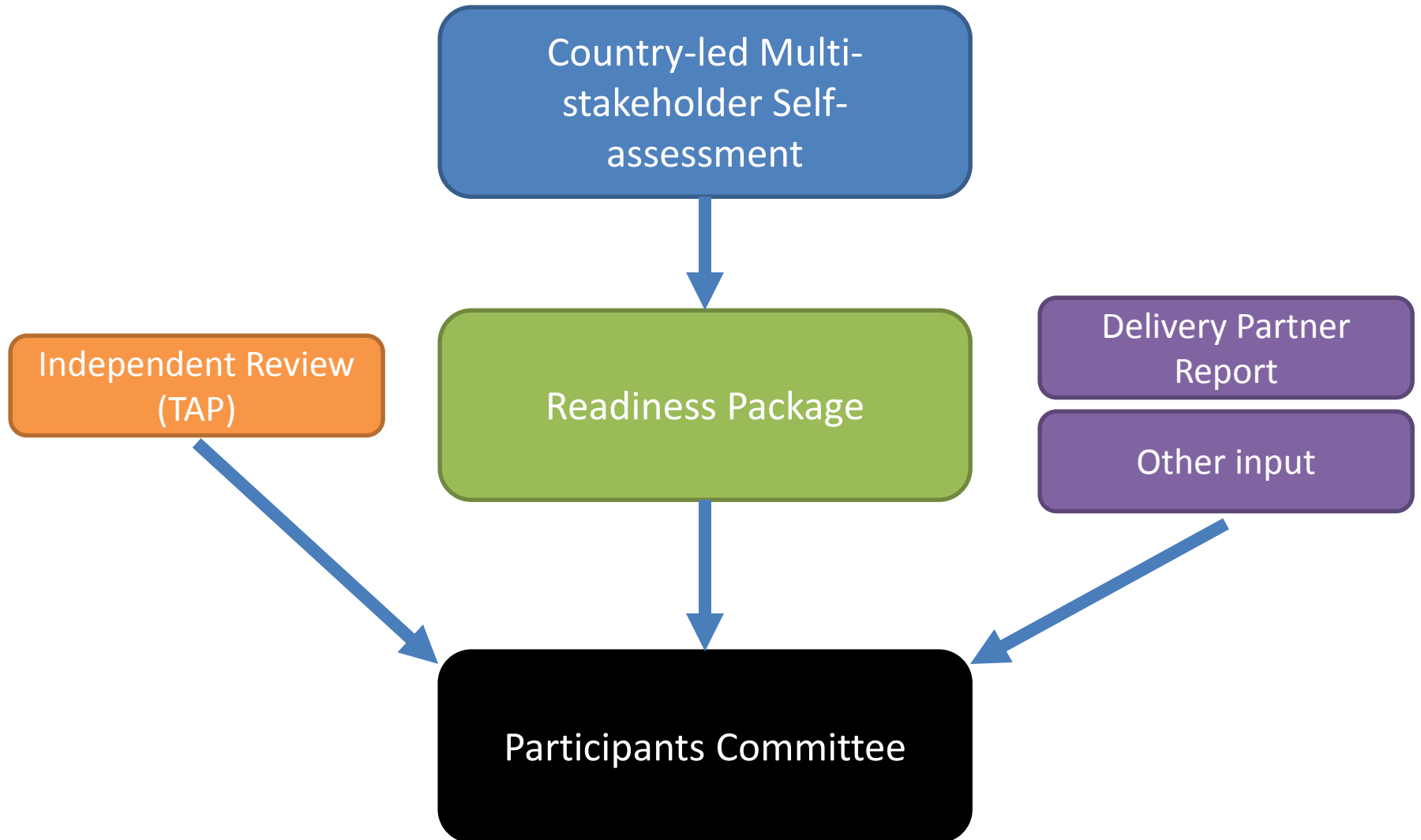
Countries report progress on same readiness components over time



Four Readiness Components (9 subcomponents)

1. Readiness Organization and Consultation
2. REDD+ Strategy Preparation
3. Reference Emissions Levels/Reference Levels
4. Monitoring Systems for Forests, and Safeguards

Readiness Assessment Process



REDD Countries perform a Self-Assessment

- Participatory and inclusive
- Builds on practices established during readiness phase
 - e.g., Strategic Environmental and Social Assessment
- FMT guidance document includes good practice on assessment preparation, implementation, and communication of its outcome



REDD Countries compile the R-Package and report results of the self-assessment

- Summary of readiness preparation process
 - Including how issues identified at mid-term were addressed
- Report on the multi-stakeholder self-assessment
- Assessment results for each subcomponent
 - Synthesis of the overall achievement
 - Analysis of strengths and weaknesses
 - Actions going forward
- References to key products
 - REDD+ Strategy
 - REL/RL, MRV technical report
 - Environmental and Social Management Framework
 - Feedback and Grievance Redress Mechanism

Green	'significant progress'
Yellow	'progressing well, further development required'
Orange	'further development required'
Red	'not yet demonstrating progress'

➤ *Delivery Partner reviews quality of outputs as part of grant implementation supervision*

PC receives input from independent experts

- A Technical Advisory Panel (TAP)
 - Performs an independent desk review of countries' progress (guided by the Assessment Framework)
 - Provides targeted feedback
 - Highlights strengths and weaknesses in subcomponents
 - Proposes actions going forward
- *The role of the TAP is not to second-guess the countries' comprehensive multi-stakeholder self-assessment*
- *The TAP rather focuses on whether a due process and approach was followed to perform the self-assessment.*



Key Messages

- **R-Package endorsement is one of several Carbon Fund requirements**
 - R-Package is voluntary for the Readiness Fund
 - Endorsement is necessary, but not sufficient, to have an ER-PD selected into the Carbon Fund portfolio
 - ER Programs are comprehensively assessed by the World Bank, TAP, Carbon Fund Participants
 - Methodological Framework stipulates technical, programmatic requirements
- **The assessment follows a structured, but flexible, framework**
 - Tailored to country-specific context
 - Consistent with UNFCCC and Warsaw REDD Framework
- **After endorsement**
 - REDD countries continue to report regularly to the PC
 - Delivery Partner continues to perform grant supervision and reporting
- **R-Packages will vary by country**
 - Different country-led processes, progress, priorities and timelines
 - Many aspects of readiness will remain ongoing

PC20 Action: Resolution sought on Readiness Package Endorsement

- Review, discuss and recognize Costa Rica's progress
 - Consider input from TAP, World Bank grant reporting and other input
- Provide feedback
 - Strengths and weaknesses
- Encourage actions going forward
- The resolution recognizes the readiness progress achieved
 - This constitutes 'endorsement' as per FCPF Charter
- After the plenary, a facilitated contact group
 - Reviews a draft resolution and collates key feedback from plenary

